

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Drewe et al.

Appln. No.: 09/705,840

Filed: November 6, 2000

For: Substituted 4H-Chromene and Analogs as Activators of Caspases and Inducers of Apoptosis and the

Use Thereof

Confirmation No.: 8076

Art Unit: 1625

Examiner: Robinson, Binta M.

Atty. Docket: 1735.0410002/RWE/CJW

Assertion of Small Entity Status under 37 C.F.R. § 1.27(c)

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

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Sir:

This application is entitled to small entity status and the same is respectfully asserted.

Respectfully submitted,

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Robert W. Esmond Attorney for Applicants Registration No. 32,893

Date: March 31, 2004

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March 31, 2004

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Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Allowed Utility Patent Application

Appl. No. 09/705,840; Filed: November 6, 2000

For: Substituted 4H-Chromene and Analogs as Activators of Caspases and Inducers of Apoptosis and the Use Thereof

Inventors:

Drewe et al.

Our Ref:

1735.0410002/RWE/CJW

Sir:

In response to the **Notice of Allowance and Issue Fee Due** dated December 31, 2003, the following documents are forwarded for appropriate action by the U.S. Patent and Trademark Office:

- 1. Fee Transmittal (Form PTO/SB/17);
- 2. Assertion of Small Entity Status under 37 C.F.R. § 1.27(c);
- 3. Request to Withdraw from Issue under 37 C.F.R. § 1.313(a) For Consideration of a Request for Continued Examination;
- 4. Request For Continued Examination (RCE) Transmittal (Form PTO/SB/30);
- 5. Fourth Supplemental Information Disclosure Statement;
- 6. Form PTO-1449 (4 sheets) and accompanying (27) references;
- 7. Return postcard; and
- 8. PTO-2038 Credit Card Payment Form for \$385.00 to cover the fee for filing a Request for Continued Examination.

Sterne, Kessler, Goldstein & Fox PLLC. : 1100 New York Avenue, NW : Washington, DC 20005 : 202.371.2600 f 202.371.2540 : www.skgf.com

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It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Robert W. Esmond Attorney for Applicants

Registration No. 32,893

RWE/CJW/dab 247069_1.DOC Enclosures